Relationship between Webull UK & AU

You, as a client of Webull Securities (UK) Ltd, (*"Webull UK"*) are provided financial services by two entities in the Webull Group. By applying for an account with Webull Securities (UK) Ltd, an account will also be opened in your name with Webull Securities (Australia) Pty. Ltd, (*"Webull AU"*) to facilitate the provision of financial services.

It is essential that you have read and understood the following documents which you will be deemed to have accepted by applying for an account with Webull UK. These may also be located on our *website*:

- ***Share Trading Risk Disclosure***
- ***Webull Australia – Financial Services Guide***
- ***Retail Client Terms Governing Activities Performed in Australia***

These documents provide comprehensive information about the services offered by both entities as well as governing the client relationship between you and Webull Australia.

About the Firms

Webull Securities (UK) Ltd - a limited company registered in England with Company Number 13437270 and the registered address of 41-43 Chalton Street, London, England NW1 1JD.

> The firm is authorised by the Financial Conduct Authority ("FCA") with Firm Reference Number: 961286 to carry out the following regulated activities (subject in each case to various limitations): (a) arranging (bringing about) deals in investments; (b) making arrangements with a view to transactions in investments; (c) dealing in investments as agent; and (d) arranging safeguarding and administration of assets.
>
> Our contact details are clientservices@webull-uk.com
> (+44) 2039051005 Landline or (+44) 8081751952 Toll-free

Webull Securities (Australia) Pty. Ltd – a limited company registered in Australia with the ABN 51 654 849 457 with an Australian Financial Services Licence (AFSL) No: 536980 provided to it by the Australian Securities and Investments Commission ("ASIC") and is a Market Participant of the Australian Securities Exchange ("ASX") and Cboe Australia Pty Ltd ("Cboe").

Responsibilities

Webull UK is responsible for

- holding your money, except where cash is received from proceeds of sales, as a result of corporate actions or other non-standard payments. WBUK will return your funds to the UK daily;
- deposits to and withdrawals from your account;
- converting currency;
- passing orders on to WBAU for execution;
- ensuring the quality of the execution of orders ("Best Execution");
- ensuring that WBAU has appropriate safeguards in place to protect your assets.

Webull AU is responsible for

- execution and settlement of your trades;
- safe custody of your assets;
- safe custody of your money (to the extent this is not held by WBUK);
- collecting fees and commissions on behalf of WBUK and other third parties.

Important Information

Webull UK's Client Agreement takes precedence in the event of conflicting information.

The regulations surrounding the safeguarding of assets may differ between Australia and the UK. While WBUK has assessed that there are appropriate safeguards in place and assets are effectively protected under the rules set out in Part 7.8 of the Corporations Act 2001, these may differ from regulations in the UK.

In particular, you may not have access to the UK Financial Services Compensation Scheme for activities performed by WBAU, but may instead have a claim under insurance held by WBAU in accordance with s912B of the Corporations Act for this purpose.