Client Money and Assets Arrangements

This document has been prepared as part of the Wind Down plan for Webull Securities (UK) Ltd ("the Firm") to give you information about your money and assets so that, should it not be possible to contact the Firm you know who to contact about your funds and assets. This includes the unlikely event that Webull UK becomes insolvent.

In the first instance, you should try to contact the Firm using the normal channels:

- clientservices@webull-uk.com
- (+44) 2039051005 Landline
- (+44) 8081751952 Toll-free
- Live Chat in the Webull App

The Firm's Wind Down Plan envisages that even if it is not trading and the website is inaccessible, support staff will be available to advise you for a period of time.

Segregation of Money and Assets

Your money and your assets are held in Trust accounts separately from any money or assets belonging to the Firm or to Group Companies.

Money

Client Money is held in a pooled client money account at Citibank. This is not available to any creditors of the Firm and will be distributed at the earliest available opportunity.

Money in Savings Products

If you invested money in a savings product through Webull Savings, this money is held by the bank in which invested it in an account bearing your name and details.

Assets

US Equities and Options
The custody of any shares or options you own is managed by Webull Australia. Webull Australia holds these assets in a pooled Trust account with Apex Clearing. Webull Australia maintains records of ownership of these assets. If it is not possible to reach the Firm, and you have not been given any other contact details to register an interest, you should contact Webull Australia at support@webull.com.au

Hong Kong and China A Shares
Webull Australia introduces your client orders in HK/CN securities to the related Webull group entity in Hong Kong; Webull Securities Limited who acts as the custodian for these assets. Webull Australia maintains records of ownership of these assets. If it is not possible to reach the Firm, and you have not been given any other contact details to register an interest, you should contact Webull Australia at support@webull.com.au

Insolvency Practitioner

An Insolvency Practitioner (IP) or administrator will be appointed to manage the process. They will be responsible for handling the firm's assets, distributing funds, and resolving customer claims. We will contact you once this has been done to give you details. You should contact the appointed IP or administrator for detailed information on your claims and how to recover your assets.

Compensation Arrangements

Once the money and assets held on the Firm's platform have been reconciled, they will be returned. Should the Firm not have sufficient assets to cover this in full:

Money
For money held, you may have recourse to the Financial Services Compensation Scheme (FSCS). The FSCS covers up to:

- £120,000 per eligible claimant for investments, including cash held by a stockbroker.
- You can contact them directly to initiate a claim. They will investigate the situation and determine whether you are entitled to compensation.

Contact details:

- Website: www.fscs.org.uk
- Phone: 0800 678 1100

Assets
Shares are not covered by the FSCS.

For **US securities and options,** your assets should have been held in a Trust account with Apex Clearing. If this was not done, you may have a claim under Webull Australia's Insurance. Check with the Australian Financial Complaints Authority (AFCA), an independent external dispute resolution scheme for financial services, including stockbrokers. If you are in need assistance in case of insolvency, AFCA may be able to investigate the matter.
AFCA Contact: www.afca.org.au

For **HK/CN securities**, Webull Securities is a registered and licensed broker in Hong Kong and subject to Hong Kong law. As such, it must ensure that client assets are protected and insured by the Investor Compensation Fund. The Investor Compensation Fund is established to pay compensation to investors of any nationality who suffer pecuniary losses as a result of a default of a licensed intermediary or authorised financial institution in relation to exchange-traded products in Hong Kong. At the time of writing, this protects securities investors up to HK$500,000 per person.